

HERALD COMMERCE LTD.

10, Princep Street
2nd Floor, Kolkata 700 072
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Fax: (033) 2237 -9053
E-Mail: info@heraldcommerce.com
Website: www.heraldcommerce.com
CIN: L51909WB1982PLC035364

29th June, 2021

To,
The Secretary,
The Calcutta Stock Exchange Ltd.
7, Lyons Range,
Kolkata – 700 001
Scrip Code : 18105

Dear Sir/Ma'am,

Sub: Submission of Annual Secretarial Compliance Report for the financial year ended 31st March, 2021.

Ref: Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Pursuant to Regulation 24A of SEBI(Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIRICFDICMDI//27 /2019 dated February 8, 2019. Please find attached herewith the Annual Secretarial Compliance Report issued by Mr. Vishal Sharma (ACS: 38161, COP: 22750), Practicing Company Secretary, for the Financial Year ended 31st March, 2021.

Kindly take the aforesaid information on record and oblige.

Thanking you,

yours faithfully,
For **Herald Commerce Limited**



Krishna Banerjee
(Director)
DIN: 06997186





VISHAL SHARMA
PRACTICING COMPANY SECRETARY

409, 4th Floor, HMP House,
Fairly Palace, Dalhousie
Kolkata - 700001
9123051290/ 9883478297
csvishalsharma1988@gmail.com

[Pursuant to circular CIR/CFD/CMD1/27/2019 dated February 08/2019 for the purpose of compliance with Regulation 24A of SEBI (Listing obligations and Disclosure Requirements) Regulations, 2015]

*Secretarial Compliance Report of **HERALD COMMERCE LIMITED** for the year ended 31st March, 2021*

We have conducted online verification & examination of:

- A. All the documents and records made available to us via electronic platform due to the massive outbreak of Covid-19 pandemic and resultant state wide lockdown and explanation provided by *HERALD COMMERCE LIMITED* (“the listed entity”),
- B. The filings/ submissions made by the listed entity to the stock exchanges,
- C. Website of the listed entity,
- D. any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended 31st March, 2021 (“Review Period”) in respect of compliance with the provisions of:
 - a. The Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
 - b. The Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include: -

- a. Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b. Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **Not applicable during the year under review.**
- c. Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011
- d. Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **Not applicable during the year under review.**
- e. Securities and Exchange Board of India (Share-Based Employee Benefits) Regulations, 2014; **Not applicable during the year under review.**



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- f. Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **Not applicable during the year under review.**
- g. Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **Not applicable during the year under review.**
- h. Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

And based on the above examination, I/We hereby report that during the Review Period:

- a. The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder.
- b. The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from my/our examination of those records except website of the Company which has some technical glitches which needs to be sorted out.
- c. The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
No Issue Found				

For Vishal Sharma

Practicing Company Secretaries

VISHAL SHARMA
Digitally signed by
VISHAL SHARMA
Date: 2021.06.30
09:47:07 +05'30'

Vishal Sharma
(Proprietor)

ACS No.: 38161

COP No.: 22750

UDIN No.: A038161C000535394

Date: 29TH June, 2021

Place: Kolkata