

# HERALD COMMERCE LTD.

10, Princep Street  
2<sup>nd</sup> Floor, Kolkata 700 072  
Phone: 033-2225-6851, 3292 5520  
Fax: (033) 2237 -9053  
E-Mail: [info@heraldcommerce.com](mailto:info@heraldcommerce.com)  
Website: [www.heraldcommerce.com](http://www.heraldcommerce.com)  
CIN: L51909WB1982PLC035364

Dated: 29/10/2018

The Secretary  
The Calcutta Stock Exchange Ltd.  
7, Lyons Range  
Kolkata – 700 001

Dear Sir/ Madam,

**Sub: Submission of Compliance Certificate pursuant to Regulation 40(9) of the SEBI (LODR) Regulations, 2015 for the half year ended 30<sup>th</sup> September, 2018**

Pursuant to Regulation 40(10) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015, we are hereby submitting the copy of Compliance Certificate issued by Practicing Company Secretary in terms of the Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 for the half year ended 30<sup>th</sup> September, 2018.

This is for your information & records.

Thanking you.

Yours faithfully,  
For **Herald Commerce Limited**

**Krishna Banerjee**  
(Director)  
DIN: 06997186

# CS

**Richa Agarwal**  
Company Secretary  
604E, Dakhindhari Road  
Kolkata - 700 048  
Phone: + 91 84207 304342  
richaagarwal22@gmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED SEPTEMBER 30, 2018**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to M/S HERALD COMMERCE LTD, having its registered office at 10, Princep Street, 2<sup>nd</sup> Floor, Kolkata- 700 072 maintained by M/S Maheshwari Datamatics Pvt Ltd, having its registered office at 23, R. N. Mukherjee Road, 5<sup>th</sup> Floor, Kolkata- 700 001 pertaining to transfer of equity shares of the Company for the period from April 01, 2018 to September 30, 2018 for the purpose of issuing a Certificate as per clause 40(9) of the Securities and Exchange Board of India (Listing Obligations And Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that all certificates have been issued within thirty days of the date of lodgement for transfer, sub-division, consolidation, renewal, exchange or endorsement, as applicable, during half year ended on September 30, 2018.

For **RICHA AGARWAL**  
(Practising Company Secretary)

*Richa Agarwal*

**RICHA AGARWAL**  
(Proprietor)

Mem No. 33091  
C.P.No. 12189

Date- 27/10/2018  
Place- Kolkata

